## **The Viral Eukaryogenesis Hypothesis**

A role for viruses in the emergence of eukaryotes from a prokaryotic world environment

Dr Philip Bell

Salzburg

### The VE hypothesis is based on modern observable phenomena

- Eukaryotes arose out of a 'prokaryotic world' consisting of bacteria, archaea and viruses
- Archaea, bacteria and viruses were modern in design before eukaryogenesis
- Replication strategies were similar to today
- Evolutionary pressures were similar to today
- No organisms are invoked of which we have no evidence (RNA organisms, progenotes)

Within these boundaries the VE hypothesis can explain the massive gulf in genetic design observed between the prokaryotic and eukaryotic cells

It can also explain the origin of sex and meiosis which were crucial in changing the mode of cellular evolution

### Differences between prokaryotic and eukaryotic design

#### Eukaryotic microbe



Yeast 6000 genes

Yeast

Very similar niche

#### Prokaryotic microbe



### E. coli~4000 genes

Presence of membrane bound nucleus

Inside nucleus genome is made of linear chromosomes

mRNA is processed, capped and transported outside nucleus

mRNA is transported via the nuclear pore complex

Endo-membrane consists of golgi, ER and nuclear membrane

Specialized organelles with own genetic systems

Sex, meiosis and mitosis

No nucleus or nuclear membrane Circular chromosomes immersed in cytoplasm

Transcription and translation in same compartment

No nuclear pores

No Endo-membrane system

No organelles Binary cell division

Ribosomes show that all life shares a common ancestory, but the gulf between eukaryotic and prokaryotic design is difficult to explain

### Transitional organisms have not been observed and would have low fitness



The VE hypothesis solves this problem by using a novel approach to understanding the evolution of the eukaryotes

Viral Eukaryogenesis hypothesis proposes the eukaryotes are not a direct descendent of any individual prokaryote lineage

Archaea (Cytoplasmic ancestor)

Bacteria (Mitochondria ancestor)







Virus (Nuclear ancestor)



Eukaryote (consortium)

Eukaryotes are an integrated consortium of three lineages from a 'prokaryotic world'

## **Consortium allows eukaryotes to arise from a prokaryotic world without passing through a low fitness intermediate**



Eukaryotic replication =

Co-ordinated replication of viral, archaeal and bacterial lineages

### Lineage 1: an archaeal ancestor of the eukaryotic cytoplasm



### 'Methanoplasma elizabethii.'

#### Archaea chosen because

•Strong phylogenetic support for a common ancestor of the archaeal and eukaryotic translation apparatus

#### 'Mycoplasma' chosen because

- •Ancestor eukaryote had no cell wall
- •Absence of cell wall allows infection by viruses using membrane fusion

a methanogenic 'mycoplasma' (Rose and Pirt 1981) produces methane from carbon dioxide and hydrogen produced by bacterial syntrophs

# Lineage 1, like *M.elizabethii*, was in a syntrophic relationship with a bacterium which became lineage 2

## Lineage 2: an alpha proteo-bacterial ancestor of the mitochondrion



#### Alpha-proteobacteria chosen because

- Strong phylogenetic support
- Similarity in structure and function
- Electron transport chain homology

#### — Mitochondria

— Endoparasite (eg Rickettsia)

— Free living /syntrophic bacteria

## Syntrophy links evolution of the archaeal and bacterial lineages together

### Lineage 3: a viral ancestor of the nucleus

Pox virus

Mimivirus





Animal host 200 kb linear Amoeba host 1200 kb linear Pox-like member of NCLDV viruses (Iyer et al 2001) chosen because of similarities to the eukaryotic nucleus

- DNA based genome
- Large linear chromosome
- Tandem repeats at telomere
- transcription apparatus
- cytoplasmic replication
- membrane bound
- capped mRNA

Host/parasite relationship links evolution of the archaeal and viral lineages together

## Syntrophic ecology consists of archaeal, bacterial and viral lineages



Virus and archaea co-evolve (host/parasite relationship) Bacteria and archaea co-evolve (syntrophic relationship) All three elements are evolutionarily linked via the archaeon

### Eukaryogenesis begins when virus lysogenises the host methanogen and a bacterium is internalised

Virus stably replicates in host cytoplasm Hydrogen producing bacteria internalised either via membrane fusion process or bacterial invasion

Methane producing mycoplasmal host supports replication of the virus and syntroph

Tripartite consortium is ancestor of 'eukaryotic cell'

# Tripartite consortium

• viral lysogen producing capped mRNA

• Archaeal lineage ribosome translating capped viral mRNA into enzymes

• Archaeal cytoplasm performing 'substrate level' metabolism

• engulfed bacterium performs aerobic metabolic functions

• Three separate genomes: bacterial, archaeal, and viral.

## Eukaryogenesis **Eukaryote** 'cell' • Nucleus producing capped mRNA. • Eukaryotic lineage ribosome translating capped nuclear mRNA into enzymes Eukaryotic cytoplasm performing substrate level metabolism

• mitochondria performs aerobic metabolic functions

• Two separate genomes mitochondrial and nuclear,

• Nuclear genome is a chimera of archaeal, bacterial and 'eukaryotic' genes

### Other eukaryotic innovations are also derived viral processes

# Viral ability to bend host plasma membrane to produce internal vesicles leads to the evolution of endomembrane system



The PRD1 phage is a prokaryotic model for this process

### Prd1 virus causes formation of spherical lipid vesicles in the bacterial host cytoplasm



PRD1 shows homology to NCLDV viruses

capsid protein
folds
ATPase for capsid
filling

A three lineage consortium explains many aspects of eukaryotic design, including the nucleus, mRNA capping, the endomembrane system and mitochondria

### If the VE hypothesis is valid the eukaryotic cell cycle derived from three different sources

- 1) Nuclear replication cycle was originally a viral replication cycle
- 2) Cytoplasmic replication was originally an archaeal replication cycle
- 3) Mitochondrial replication was originally a bacterial replication cycle

## How did the consortium members evolve a co-ordinated replication cycle?

## Modern viruses co-ordinate stable replication with their host using two strategies

Lysogenise host by integrating into host genome (eg lambda, retroviruses)
Lysogenise host as plasmid like element (eg P1 phage, Bam35, N15, Herpes)



### Low copy number prokaryotic lysogens (plasmids and viruses) have convergently evolved mechanisms with common themes

R1 or F plasmid, P1, N15 phage etc



#### **Common themes**

After replication, daughter copies handcuffed at centromere

Centromere prevents further replication of plasmid

Segregation proteins bind to centromeric region

Chromosomes segregated using filament polymerisation (tubulin, actin and other protein!)

Plasmid segregation is completed before cell division

Upon completion, two daughter cells are produced both containing a copy of the plasmid

Several of these 'themes' are also found in the mitotic cycle

### Mitosis is consistent with a viral origin of the eukaryotic nucleus

## Mitosis $\odot$ Interphase Prophase Early Metaphase Late Metaphase Anaphase Telophase Interphase

After replication, daughter copies joined at centromere

Nuclear membrane breaks down and chromosomes condense

Segregation proteins bind to centromeric region (kinetochore)

Chromosomes segregated using filament polymerisation (tubulin->microtubules)

Chromosome segregation is completed before cell division

Upon completion, two daughter cells are produced both containing a copy of each linear chromosome

VE hypothesis also provides a simple model for the origin of sex and meiosis

### In the VE hypothesis, the lysogenic virus evolved conjugation to enable horizontal transfer to new hosts

•Conjugation has evolved several times to spread low copy number lysogens such as R1, F to uninfected new lineages



### Eukaryotic sex emerges when the viral ancestor diverged into two closely related forms



#### **Closely related viruses are**

- highly homologous
- use homologous centromeric sequences for copy number control
- fail to recognize other lysogen as 'self'
- The two lysogens would be 'incompatible' due to use of homologous centromeric control regions

**Conjugation between two related lineages provides rationale for origin of sex, homologous chromosomes, and two mating** 

## The reductive division cycle due to presence four centromeres generates a rational for the origin of meiosis



### The evolution of the eukaryotes according to the VE hypothesis



- Novel 'cellular' design arises from tripartite consortium
- Novel replication cycles due to co-ordination of replication cycles of three lineages
- Fusion of viral and cellular replication strategies produces sex, meiosis and mitosis
- Phagocytosis (predation) propels eukaryotes in new evolutionary directions
- Phylogenetic 'big bang' occurs because eukaryogenesis changed genome evolution

### Prokaryotic evolution proceeds primarily via vertical inheritance but plasmids and viruses are key evolutionary forces



Prokaryotes only have one ancestor alive through all of history ("serial evolution")

• A mutation of a gene would be trapped in one vertical lineage indefinitely

## • Müller's ratchet should lead to genome degeneration

- Lateral gene transfer necessary to move genes to a different genetic background
- Lateral gene transfer common due to viruses and plasmids

The eukaryotic mode of replication is fundamentally different

## Sexually reproducing eukaryotes evolve as populations

1024 - 512

(50 human generations @ 20y/gen = 1000 years!) (1000 AD world pop =  $400 \times 10^{6}$ )

> Eukaryotes, prokaryotes (and viruses) evolve according to fundamentally different principles

Over geological time eukaryotes have an entire population as its ancestor ("parallel evolution")

Mutations in any successful lineage are ultimately available for the entire species population

The 'Neodarwinian' synthesis only applies to eukaryotic evolution

- Species concepts
- Hardy-Weinberg equilibrium
- Dominant and recessive alleles
- Sexual selection etc

## By changing the nature of replication, the eukaryotic cell changed the nature of evolution

Serial evolution (asexual replication with no gene transfer)

In 1 million years, a bacterial lineage replicating on average at 1 hour per generation and accumulating one beneficial mutation per 100 generations,

#### Total new beneficial mutations:

((24 x 365 x 1,000,000) x 1)/100

 $= 8.8 \times 10^7$ 

Parallel evolution (obligate sexual evolution as a population)

In 1 million years, a human population replicating once every 20 years, with a population size of 100, 000 and accumulating one beneficial mutation per generation per 100 individuals

#### Total new beneficial mutations:

((1,000,000/20) x 100,000)/100

 $= 5 \ge 10^7$ 

Sex allows complex slow replicating eukaryotes to assemble new genetic information as quickly as simple fast replicating asexual prokaryotes !